Ref	A1		Date entered in register		19 Sep 2017
Status	Open		Date breached closed (if relevant)		
Title of B	reach	Late notificatio	n of joining	Owner	SB/JT
Party whi	ch caused		CPF + various employers		
Party which caused the breach Description and cause of breach			Requirement to send a Notification of Joining the LGPS to a scheme member within 2 months from date of joining (assuming notification received from the employer), or within 1 month of receiving jobholder information where the individual is being automatically enrolled / re- enrolled. Due to a combination of late notification from employers and untimely action by CPF the legal requirement was not met. 20/11/18 - (Q2) Staff turnover in August/September reduced number actioned. 29/1/19 The introduction of I-connect is also producing large backlogs at the point of implementation for each employer. I-connect submission timescales can also leave only a few days for CPF to meet the legal timescale. 14/8/19 General data cleansing including year-end is affecting whether legal timescale is met. Individual on long-term sick impacting this.		
Category			Active members		
Numbers	affected		2017/18: 2676 cases completed / 76 2018/19: - Q1 - 1246 cases completed / 84% - Q2 - 551 cases completed / 87% ( - Q3 - 1123 cases completed / 87% ( - Q4 - 935 cases completed / 49% ( 2019/20: - Q1 - 822 cases completed / 62% ( - Q2 - 750 cases completed / 46% (	(1050) were in bi 480) were in brea (563) were in brea 458) were in brea 507) were in brea	reach ach each ach ach
Possible implicatio	effect and ons	wider	<ul> <li>Late scheme information sent to m understanding.</li> <li>Potential complaints from member</li> <li>Potential for impact on CPF reputation</li> </ul>	nember which ma s.	

Actions taken to rectify breach	<ul> <li>Roll out of iConnect where possible to scheme employers including new admitted bodies to ensure monthly notification of new joiners (ongoing).</li> <li>Set up of Employer Liasion Team (ELT) to monitor and provide joiner details more timelessly.</li> <li>Training of new team members to raise awareness of importance of time restraint.</li> <li>Prioritising of task allocation. KPIs shared with team members to further raise awareness of importance of timely completion of task.</li> <li>6/6/18 - Updating KPI monitoring to understand employers not sending information in time.</li> <li>3/6/19 - Review of staff resources now complete and new posts filled.</li> <li>14/8/19</li> <li>Streamlining of aggregation cases with major employers.</li> <li>Consider feasibility and implications of removing reminders for joining pack (agreed not to change).</li> <li>Consider feasibility of whether tasks can be prioritised by date of joining (agreed not to change).</li> <li>14/11/19 - Utilising FCC trainees to assist with this procedure. Joined early September.</li> </ul>
Outstanding actions (if any)	<ul> <li>Ongoing roll out of i-Connect.</li> <li>Bedding in of new staff/ training.</li> <li>Carrying out backlogs of previous joiners (most of which are due to i-Connect roll out).</li> <li>Contacting employers which are causing delays.</li> <li>28/1/19:</li> <li>Introduce process to analyse specific employers causing problems.</li> </ul>
Assessment of breach and brief	29/1/19 Large proportion of joining members affected but business
summary of rationale	<ul> <li>case has been put forward to increase resources. In the meantime, temporary resources are being requested to assist.</li> <li>4/6/19 Reassessed - New resource put in place but may take a few months to see full impact.</li> <li>14/11/19 status reassessed and remains amber whilst training of new staff continues.</li> </ul>
	staff continues

Ref	A2		Date entered in register		19 Sep 2017
Status	tatus Open		Date breached closed (if relevant		
Title of Br	each	Late transfer ir	estimate	Owner	JT
Party whic	ch caused t	the breach	CPF + various previous schemes		
Descriptio	n and caus	se of breach	Requirement to obtain transfer deta and provide quotation to member 2 Breach due to late receipt of transfe scheme and late completion of calc Only 2 members of team fully traine to new team structure and additiona National changes to transfer factors hold/stockpiled end of 2018/early 20	months from the er information from ulation and notific ed to carry out tran al training requiren s meant cases we	date of request. n previous cation by CPF. nsfer cases due ments. 29/1/19

Category affected	Active members
Numbers affected	2017/18: 235 cases completed / 36% (85) were in breach. 2018/19:
	- Q1 - 60 cases completed / 42% (25) were in breach
	- Q2 - 66 case completed / 38% (25) were in breach
	- Q3 - 31 case completed / 32% (10) were in breach
	- Q4 - 56 cases completed / 62% (35) were in breach
	2019/20:
	- Q1 - 51 cases completed / 59% (30) were in breach
Possible effect and wider	- O2 - 56 cases completed / 29% (16) were in breach - Potential financial implications on some scheme members.
implications	- Potential complaints from members/previous schemes.
Implications	- Potential for impact on CPF reputation.
Actions taken to rectify breach	- Continued training of team members to increase knowledge and
······································	expertise to ensure that transfers are dealt with in a more timely
	manner.
Outstanding actions (if any)	- Completion of training of team members in transfer and aggregation
	processes.
	29/1/19:
	- If KPIs don't improve, investigate how much of the delay is due to
	external schemes and look for ways to improve this.
Assessment of breach and brief	29/1/19 Stockpiling will likely make KPIs worse in short term but then
summary of rationale	longer term additional training will result in improvements.
	14/11/19 whilst improvements have been made - this needs to be
	consistent and numbers reducing further prior to changing to green
Reported to tPR	No

Ref	A4		Date entered in register		19 Sep 2017
Status	Open		Date breached closed (if relevant)		
Title of Br	each	Late notificatio	n of retirement benefits	Dwner	SB
Party which	ch caused	the breach	CPF + various employers + AVC pro	viders	
Description and cause of breach		se of breach	Requirement to provide notification of amount of retirement benefits within 1 month from date of retirement if on or after Normal Pension Age or 2 months from date of retirement if before Normal Pension Age. Due to a combination of: - late notification by employer of leaver information - late completion of calculation by CPF - for members who have AVC funds, delays in receipt of AVC fund values from AVC provider		
Category	affected		Active members mainly but potentially some deferred members		
Numbers affected					ch n ch ach

Possible effect and wider implications	<ul> <li>Late payment of benefits which may miss payroll deadlines and result in interest due on lump sums/pensions (additional cost to CPF).</li> <li>Potential complaints from members/employers.</li> <li>Potential for impact on CPF reputation.</li> </ul>
Actions taken to rectify breach	<ul> <li>Roll out of iConnect where possible to scheme employers including new admitted bodies to ensure monthly notification of retirees (ongoing).</li> <li>Set up of ELT to monitor and provide leaver details in a more timely manner.</li> <li>Prioritising of task allocation.</li> <li>Set up of new process with one AVC provider to access AVC fund information.</li> <li>Increased staff resources.</li> <li>3/6/19 - Review of staff resources now complete and new posts filled.</li> <li>14/8/19 - Improvements have been made and more should be made as staff are settled in and trained. Business case approved.</li> </ul>
Outstanding actions (if any)	<ul> <li>Further training of newly promoted team member to deal with volume of work.</li> <li>Identifying which employers are causing delays.</li> <li>14/11/19 Continuation of training.</li> </ul>
summary of rationale	4/6/19 New resource put in place but may take a few months to see full impact. 14/11/19 Number of retirements increased and those in breach reduced so improvements continue to be made, but remain as amber for now.
Reported to tPR	No

Ref	A5		Date entered in register		20 Sep 2017
Status	Open		Date breached closed (if relevant	t)	
Title of B	reach	Late estimate	of benefits	Owner	SB
Party whi	ich caused	the breach	CPF		
Description and cause of breach		se of breach	Requirement to provide quotations on request for potential retirements as soon as is practicable, but no more than 2 months from date of request unless there is a previous request in the last year. Delays are due to: - late completion of calculation by CPF. - Increasing numbers of estimate requests being made by members.		
Category	affected		Active members mainly but potentia	ally some deferre	d members
Numbers affected			2017/18: 487 cases completed / 37% (182) were in breach. 2018/19: - Q1 - 79 cases completed / 32% (25) were in breach - Q2 - 60 case completed / 22% (13) were in breach - Q3 - 123 case completed / 15% (18) were in breach - Q4 - 151 cases completed / 6% (4) were in breach 2019/20: - Q1 - 165 cases completed / 4% (6) were in breach		

Bassible offect and wider	Late notification of henefite/costs to member/employer
Possible effect and wider	- Late notification of benefits/costs to member/employer.
implications	<ul> <li>Potential complaints from members/employers.</li> </ul>
	<ul> <li>Potential for missed opportunities by members/employers.</li> </ul>
	- Potential for impact on CPF reputation.
Actions taken to rectify breach	<ul> <li>Introduction of MSS should alleviate the volume of requests</li> </ul>
	received as member will be able to calculate own estimate through
	database.
	- Further training of team members also required.
	- Task allocation reviewed by team leader to ensure estimates are
	given a higher priority.
	3/6/19 - Review of staff resources now complete and new posts filled.
	14/8/19 - Additional staff training.
	$\mathbf{T}$
Outstanding actions (if any)	-None
Outstanding actions (if any) Assessment of breach and brief	<u> </u>
	-None
Assessment of breach and brief	-None 29/1/19 - Improvements have been made including from MSS and more should be made as staff are settled in and trained. Business
Assessment of breach and brief	-None 29/1/19 - Improvements have been made including from MSS and more should be made as staff are settled in and trained. Business case will also assist if approved.
Assessment of breach and brief	-None 29/1/19 - Improvements have been made including from MSS and more should be made as staff are settled in and trained. Business case will also assist if approved. 3/6/19 Cases in breach now drastically reduced so moved from
Assessment of breach and brief	-None 29/1/19 - Improvements have been made including from MSS and more should be made as staff are settled in and trained. Business case will also assist if approved. 3/6/19 Cases in breach now drastically reduced so moved from amber to green. But will review in next quarter.
Assessment of breach and brief	-None 29/1/19 - Improvements have been made including from MSS and more should be made as staff are settled in and trained. Business case will also assist if approved. 3/6/19 Cases in breach now drastically reduced so moved from amber to green. But will review in next quarter. 14/8/19 Reassessed - Still minor breach but all reasonable actions
Assessment of breach and brief	-None 29/1/19 - Improvements have been made including from MSS and more should be made as staff are settled in and trained. Business case will also assist if approved. 3/6/19 Cases in breach now drastically reduced so moved from amber to green. But will review in next quarter. 14/8/19 Reassessed - Still minor breach but all reasonable actions have been taken.
Assessment of breach and brief	-None 29/1/19 - Improvements have been made including from MSS and more should be made as staff are settled in and trained. Business case will also assist if approved. 3/6/19 Cases in breach now drastically reduced so moved from amber to green. But will review in next quarter. 14/8/19 Reassessed - Still minor breach but all reasonable actions have been taken. 14/11/19 Reassessed - Still minor breach but all reasonable actions
Assessment of breach and brief	-None 29/1/19 - Improvements have been made including from MSS and more should be made as staff are settled in and trained. Business case will also assist if approved. 3/6/19 Cases in breach now drastically reduced so moved from amber to green. But will review in next quarter. 14/8/19 Reassessed - Still minor breach but all reasonable actions have been taken.

Ref	A6		Date entered in register		20 Sep 2017
Status	Open		Date breached closed (if relevant		
Title of B	reach	Late notfication	n of death benefits	Owner	SB
Party whi	ch caused t	he breach	CPF		-
Description and cause of breach		e of breach	Requirement to calculate and notify dependant(s) of amount of death benefits as soon as possible but in any event no more than 2 months from date of becoming aware of death, or from date of request by a third party (e.g. personal representative). Due to late completion by CPF the legal requirements are not being met. Due to complexity of calculations, only 2 members of team are		
Category	affected		fully trained and experienced to complete the task Dependant members + other contacts of deceased (which could be active, deferred, pensioner or dependant).		
Numbers affected			<ul> <li>2017/18: 153 cases completed / 58% (88) were in breach.</li> <li>2018/19:</li> <li>Q1 - 53 cases completed / 32% (17) were in breach</li> <li>Q2 - 26 case completed / 35% (9) were in breach</li> <li>Q3 - 41 case completed / 39% (16) were in breach</li> <li>Q4 - 64 cases completed / 22% (14) were in breach</li> <li>2019/20:</li> <li>Q1 - 33 cases completed / 24% (8) were in breach</li> <li>Q2 - 41 cases completed / 34% (14) were in breach</li> </ul>		
Possible effect and wider implications		vider	<ul> <li>Late payment of benefits which m result in interest due on lump sums</li> <li>Potential complaints from benefica cases.</li> <li>Potential for impact on CPF reputa</li> </ul>	/pensions (additic aries, particular gi	onal cost to CPF).

Actions taken to rectify breach	<ul> <li>Further training of team</li> <li>Review of process to improve outcome</li> <li>Recruitment of additional, more experienced staff.</li> <li>3/6/19 - Review of staff resources now complete and new posts filled.</li> </ul>
Outstanding actions (if any)	- Additional staff training.
Assessment of breach and brief summary of rationale	29/1/19 - Improvements have been made and more should be made as staff are trained. Business case will also assist if approved. 4/6/19 New resource put in place but may take a few months to see full impact. 14/11/19 Continuation of training to other Pension officers will hopefully reduce this further so retain as amber.
Reported to tPR	No

Ref	A9		Date entered in register		29 Aug 2018
Status	Open		Date breached closed (if relevant	)	
Title of Br	each	Late notificatio	n of leaver rights and options	Owner	SB/JT
Party which	ch caused t	he breach	CPF + various employers		-
Party which caused the breach Description and cause of breach			Requirement to inform members who leave the scheme of their leaver rights and options, as soon as practicable and no more than 2 months from date of initial notification (from employer or from scheme member). Due to a combination of late notification from employers and untimely action by CPF the legal requirement was not met. 20/11/18 - (Q2) Staff turnover in August/September reduced number actioned. 29/1/19 The introduction of I-connect is also producing large backlogs at the point of implementation for each employer. I-connect submission timescales can also leave only a few days for CPF to		
Category	affected		Active members		
Numbers affected			<ul> <li>2018/19:</li> <li>Q1 - 437 cases completed / 40% (173) were in breach</li> <li>Q2 - 1463 cases completed / 66% (963) were in breach</li> <li>Q3 - 951 cases completed / 51% (481) were in breach</li> <li>Q4 - 745 cases completed / 2% (17) were in breach</li> <li>2019/20:</li> <li>Q1 - 541 cases completed / 6% (34) were in breach</li> <li>Q2 - 391 cases completed / 6% (23) were in breach</li> </ul>		
Possible effect and wider implications		vider	<ul> <li>Late notification of benefits/costs t</li> <li>Potential complaints from member</li> <li>Potential for missed opportunities</li> <li>Potential for impact on CPF reputation</li> </ul>	to member/emplo rs/employers. by members/emp	yer.

	<ul> <li>Roll out of iConnect where possible to scheme employers including new admitted bodies to ensure monthly notification of leavers (ongoing).</li> <li>Set up of Employer Liasion Team (ELT) to monitor and provide leaver details in a more timely manner.</li> <li>Training of new team members to raise awareness of importance of time restraint.</li> <li>Prioritising of task allocation. KPIs shared with team members to further raise awareness of importance of timely completion of task.</li> <li>6/6/18 - Updating KPI monitoring to understand employers not sending information in time.</li> <li>3/6/19 - Review of staff resources now complete and new posts filled.</li> <li>14/8/19</li> <li>Ongoing streamlining of aggregation cases with major employers.</li> <li>Consider feasibility of whether tasks can be prioritsed by date of leaving (no action taken).</li> <li>Carrying out backlogs of previous leavers (most of which are due to i-Connect roll out).</li> </ul>
Outstanding actions (if any)	<ul> <li>Ongoing roll out of i-Connect.</li> <li>Bedding in of new staff/ training.</li> <li>Contacting employers which are causing delays.</li> <li>28/1/19:</li> <li>Introduce process to analyse specific employers causing problems.</li> </ul>
Assessment of breach and brief	29/1/19 Large proportion of leaving members affected but business
	case has been put forward to increase resources. In the meantime,
	temporary resources are being requested to assist. 3/6/19 Reassessed - Cases in breach now drastically reduced so
	moved from amber to green. But will review in next quarter.
	14/8/19 Reassessed - Still minor breach but all reasonable actions have been taken.
	15/11/19 Reassessed - Still green whilst progress is maintained.

Ref	A11		Date entered in register		29 May 2019
Status	Open		Date breached closed (if relevant)		
Title of Br	each	Scheme Chan	ges Disclosure	Owner	KAM
Party which	ch caused t	the breach	CPF		
Descriptio		se of breach	Amendment Regulations disclosure communication to members. This was sent in error to members who were categorised as "gone away" from last known address. This will have resulted in a data breach as names and addresses would have been visible to people now living at those addresses. Active members, status 2 (undecided) members and deferred		
Numbers	affected		members who are shown as "gone away" 921 members impacted		
Possible effect and wider implications			<ul> <li>Personal Details available to view by incorrect recipients</li> <li>May result in complaints</li> <li>Potential that same issue could occur in other communications if "gone away" status is not checked.</li> </ul>		

Actions taken to rectify breach	<ul> <li>Followed Data Breach procedure 14/8/19</li> <li>Increased staff awareness / training for future distribution</li> <li>Process put in place to ensure future mail shots to all members exclude this Category or are automatically redirected back to CPF</li> </ul>
Outstanding actions (if any)	-Still being considered by FCC to ensure change in processes are adequate 14/11/19 Contact FCC to find out if any further actions are required.
Assessment of breach and brief summary of rationale	Large number of members impacted but no personal information other than name included in communications so low impact. 14/11/19 Maintain as green as no further action notified by FCC
Reported to tPR	No

Ref	A12		Date entered in register		29 May 2019
Status	Open		Date breached closed (if relevant	t)	
Title of Br	reach	APC calculatio	n due to revised factors	Owner	SB/JT
Party which	ch caused	the breach	CPF	-	-
Descriptio	on and cau	se of breach	Recalculation of APC contracts due communicated within required time		hange not
Category	affected		Active members with APC contract	S	
Numbers	affected		<10 members 14/11/19 Now confirmed as only 1	member affected.	
Possible ( implicatio	effect and v ons	wider	<ul> <li>Late notification to members of change to APC contracts / recalculation of benefits</li> <li>May result in complaints</li> </ul>		
Actions ta	aken to rec	tify breach	<ul> <li>Re-calculation of APC contracts underway with explanation to those affected by the change.</li> <li>14/11/19 Initial work completed and determined only 1 member requires a recalculation.</li> </ul>		
Outstandi	ing actions	(if any)	Re-calculation and notification to m	nembers required	
Assessment of breach and brief summary of rationale			Low number of cases impacted and remedial action likely to be complete by 30 June 2019 14/8/19 Reasessed - Low number of cases however remedial action delayed due to other workloads by 31 October 2019. 14/11/19 Reassessed - remain green as only 1 member is affected.		
Reported	to tPR		No		

Ref	A13		Date entered in register		14 Nov 2019
Status	Open		Date breached closed (if relevant	t)	
Title of B	reach	Late transfer of	out estimate	Owner	JT/KCW
Party whi	ch caused t	the breach	CPF		
Description and cause of breach		se of breach	Requirement to provide details of the request within 3 months from date this is the same as breach A3 whice Late completion of calculation and number of cases, plus additional propert by end of Q3 and incorpora improvement plan.	of request (CETV h was closed pre notification by CF ressure to comple	viously. PF due to higher ete aggregation

Category affected	Active and deferred members	
Numbers affected	2019/20 - Q2 - 3 members in breach	
Possible effect and wider	- Potential financial implications on some scheme members.	
implications	<ul> <li>Potential complaints from members/new schemes.</li> </ul>	
	- Potential for impact on CPF reputation.	
Actions taken to rectify breach	14/11/19 - Better prioritisation of workload and any additional tasks	
	that are not KPI driven	
Outstanding actions (if any)	None	
Assessment of breach and brief	14/11/19 As only a small number of members were affected the risk is	
summary of rationale	considered minimal	
Reported to tPR	No	

Ref	F17		Date entered in register		19 Aug 2019
Status	Closed		Date breached closed (if relevant)		09 Sep 2019
Title of Br	reach	No submissior	of contribution remittance advice	Owner	DF
Party which	ch caused t	he breach	Wrexham County Borough Council		
Description and cause of breach			A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made. Contributions relating to May 2019 were received within the legal timescales but no remittance advice was received.		
Category	affected		Active members and employer		
Numbers	affected		14355 active members		
Possible ( implicatio	effect and v	vider	Unable to verify information being paid or reconcile with member year end information.		
Actions taken to rectify breach			<ul> <li>emailed employer to request</li> <li>employer advised delay due to staffing issues and it will be looked at asap</li> </ul>		
Outstandi	ing actions	(if any)	9/9/19 No oustanding actions. Rem	nittance now recei	ved.
Assessment of breach and brief summary of rationale			21/8/19 Remittance still oustanding. First occurance and will continue to chase. 9/9/19 Reassessed - remittance now received.		
Reported to tPR			No		

Ref	F18		Date entered in register		19 Aug 2019
Status	Closed		Date breached closed (if relevant	t)	04 Sep 2019
Title of Breach No submission		No submissior	n of contribution remittance advice	Owner	DF
Party which	ch caused t	the breach	Wrexham Commercial Services		
Description and cause of breach			A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made. Contributions relating to May 2019 were received within the legal timescales but no remittance advice was received.		
Category	affected		Active members and employer		
Numbers	affected		350 active members		
Possible effect and wider implications			Unable to verify information being paid or reconcile with member year end information.		

Actions taken to rectify breach	<ul> <li>emailed employer to request</li> <li>employer advised delay due to staffing issues and it will be looked at asap (note payroll is Wrexham County Borough Council)</li> </ul>	
Outstanding actions (if any)	4/9/19 No oustanding actions. Remittance now received.	
Assessment of breach and brief summary of rationale	21/8/19 Remittance still oustanding. First occurance and will continue to chase. 4/9/19 Reassessed - remittance now received.	
Reported to tPR	No	

Ref	F19		Date entered in register	Date entered in register	
Status	Closed		Date breached closed (if relevant) 04 Sep		19 Aug 2019 04 Sep 2019
Title of Breach No submission			of contribution remittance advice	Owner	DF
Party whic	ch caused t	he breach	Penley Maelor		
Description and cause of breach			A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made. Contributions relating to June 2019 were received within the legal timescales but no remittance advice was received.		
Category	affected		Active members and employer		
Numbers a	affected		10 active members		
Possible e implicatio	effect and w	vider	Unable to verify information being paid or reconcile with member year end information.		
Actions taken to rectify breach			<ul> <li>emailed employer to request</li> <li>employer advised delay due to staffing issues and it will be looked at asap (note payroll is Wrexham County Borough Council)</li> </ul>		
Outstanding actions (if any)			4/9/19 No oustanding actions. Rem	nittance now recei	ved.
Assessment of breach and brief summary of rationale			<ul> <li>21/8/19 Remittance still oustanding. First occurance and will continue to chase.</li> <li>4/9/19 Reassessed - remittance now received.</li> </ul>		
<b>Reported</b>	to tPR		No		

Ref	F21		Date entered in register		19 Aug 2019
Status	Closed		Date breached closed (if relevant	t)	24 Sep 2019
Title of Breach No submission		No submission	of contribution remittance advice	Owner	DF
Party whic	ch caused t	the breach	Denbigh Youth Project		-
Description and cause of breach			A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made. Contributions relating to June 2019 were received within the legal timescales but no remittance advice was received.		
Category a	affected		Active members and employer		
Numbers a	affected		1 active member		
Possible effect and wider			Unable to verify information being paid or reconcile with member year end information.		
implications Actions taken to rectify breach			- emailed to request		

Outstanding actions (if any)	24/9/19 No oustanding actions. Remittance now received.	
Assessment of breach and brief 21/8/19 Remittance still oustanding but will continue to		
summary of rationale	occurance).	
	24/9/19 Reassessed - remittance received.	
Reported to tPR	0	

Ref	F22		Date entered in register		19 Aug 2019
Status	Closed		Date breached closed (if relevant) 09		09 Sep 2019
Title of Br	each	No submissior	of contribution remittance advice	Owner	DF
Party which	ch caused t	he breach	Wrexham County Borough Council		
Description and cause of breach			A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made. Contributions relating to June 2019 were received within the legal timescales but no remittance advice was received.		
Category	affected		Active members and employer		
Numbers	affected		14355 active members		
implicatio			Unable to verify information being paid or reconcile with member year end information.		
Actions taken to rectify breach			<ul> <li>employer advised delay due to staffing issues and it will be looked at asap</li> </ul>		
Outstanding actions (if any)			9/9/19 No oustanding actions. Remittance now received.		
Assessment of breach and brief summary of rationale			21/8/19 Remittance still oustanding. Second occurance but clearly all due to the same staffing issue. Will continue to chase. 9/9/19 Reassessed - remittance received.		
<b>Reported</b>	to tPR		0		

Ref	F23		Date entered in register		19 Aug 2019	
Status	Closed		Date breached closed (if relevant)		04 Sep 2019	
Title of Breach No submission		No submissior	of contribution remittance advice	Owner	DF	
Party which caused the breach			Wrexham Commercial Services			
Description and cause of breach			A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made. Contributions relating to June 2019 were received within the legal timescales but no remittance advice was received.			
Category affected			Active members and employer			
Numbers affected			350 active members			
Possible effect and wider implications			Unable to verify information being paid or reconcile with member year end information.			
Actions taken to rectify breach			- employer advised delay due to staffing issues and it will be looked at asap (note payroll is Wrexham County Borough Council)			
Outstanding actions (if any)			4/9/19 No oustanding actions. Remittance now received.			
Assessment of breach and brief summary of rationale			21/8/19 Remittance still oustanding. Second occurance but clearly all due to the same staffing issue. Will continue to chase. 4/9/19 Reassessed - remittance received.			
Reported to tPR			0			

Ref	F24		Date entered in register		19 Aug 2019	
Status	Closed		Date breached closed (if relevant)		04 Sep 2019	
Title of Breach No submission			of contribution remittance advice	Owner	DF	
Party which caused the breach			Penley Maelor			
Description and cause of breach			A remittance advice detailing information in relation to contribution payments should be submitted to CPF at the same point as the payment is made. Contributions relating to June 2019 were received within the legal timescales but no remittance advice was received.			
Category affected			Active members and employer			
Numbers affected			110 active members			
Possible effect and wider implications			Unable to verify information being paid or reconcile with member year end information.			
Actions taken to rectify breach			- employer advised delay due to staffing issues and it will be looked at asap (note payroll is Wrexham County Borough Council)			
Outstanding actions (if any)			4/9/19 No oustanding actions. Remittance now received.			
Assessment of breach and brief summary of rationale			21/8/19 Remittance still oustanding. Second occurance but clearly all due to the same staffing issue. Will continue to chase. 4/9/19 Reassessed - remittance received.			
Reported to tPR			0			